## LAPT REC'DELIPTO 21 MAR 2006

PTO-1390 (Rev. 02-2005)

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER CN 030025

CON	CONCERNING A SUBMISSION UNDER 35 U.S.C. 371								
	TIONAL APPLICATION NO. 2004/0051807	INTERNATIONAL FILING DATE September 21, 2004	PRIORITY DATE CLAIMED September 24, 2003						
TITLE OF INVENTION METHODS AND SYSTEM FOR CONTROLLING A LIGHTING APPARATUS									
APPLICANT(S) FOR DO/EO/US  Xuecheng QIAN									
Applicant	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🗗	This is a <b>FIRST</b> submission of items co	enceming a submission under 35 U.S.C. 371	l.						
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31).								
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b. 🚺 has been communicated by the International Bureau.								
	c. is not required, as the appli	cation was filed in the United States Receiving	ing Office (RO/US).						
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
· <del>-</del>	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. 🗹 have not been made and will not be made.								
8. 🗆	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. 🗹	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10. 🗌	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT						
Items	11 to 20 below concern document(s	) or information included:							
11. 🔲	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12. 🗸	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. 🔽	A preliminary amendment.								
14. 🔲	An Application Data Sheet under 37 CFR 1.76.								
15.	A substitute specification.								
16. 🗹	A power of attorney and/or change or	A power of attorney and/or change of address letter.							
17.	A computer-readable form of the seq	uence listing in accordance with PCT Rule	13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)	(4).						
19.		ge translation of the international application							
	Other items or information: Express N	Mail Certificate; PTO/SB/96; Receipt Cor	nfirmation Postcard						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	ION NO. (if known	ATTORNEY'S DOCKET NUMBER						
<b>1 1 1 1 1 1 1 1 1 1</b>					CN 030025			
The following fees have been submitted					CALCULATIONS	PTO USE ONLY		
21. 🔽 Bas	c national fee			\$300	\$ 300.00			
If International p PCT Article 3	nination fee reliminary examina 3(1)-(4)	\$ 200.00						
Search fee (37 C International Sea	rch fee FR 1.445(a)(2)) h nal Searching Aut irch Report prepar is	\$ 400.00						
	TOTAL OF 21, 22	\$ 900.00						
☐ sequence li	e for specification sting or computer 250 for each addit							
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE				
- 100 =	/50 =	,	,	× \$250	\$			
	30.00 for furnishing late (37 CFR 1.49	\$						
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$			
Total claims	10	- 20 =		x \$ 50	\$ 0.00			
Independent clai	ms 2	- 3 =		× \$200	\$ 0.00			
MULTIPLE DEP	ENDENT CLAIM(	S) (if applicable)		+ \$360	\$			
		, , , ,	TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00			
Applicant cla	aims small entity s	tatus. See 37 CFF	R 1.27. Fees above are reduc	ed by 1/2.				
			-	SUBTOTAL =	\$ 900.00			
	of <b>\$130.00</b> for furni date (37 CFR 1.49	hs from the earliest	\$					
		\$ 900.00						
	g the enclosed ass e cover sheet (37	st be accompanied	\$ 40.00					
			TOTAL F	EES ENCLOSED =	\$ 940.00			
		Amount to be refunded:	\$					
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a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL COF	RESPONDENCE	TO:	. •		2	5		
	Corporate Patent Counsel							
	•		tion	David Barnes				
	Philips Electronics North America Corporation  NAME  NAME							
	O. Box 3001	NIV 40540		47,407				
Į B	riarcliff Manor,	ON NUMBER						

## AP20 Res'CTGLTTO 21 MAR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

QIAN et al.

CN 030025

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

METHODS AND SYSTEM FOR CONTROLLING A LIGHTING APPARATUS

	CERTIFICATE OF EXPRESS MAILING
[] Express Mail Mailing	abel No.
	EV746348666-45
ate of Deposit:	3/21/06
ervice "Express Mail Po	paper and/or fee is being deposited with the United States Postal at Office to Addressee" service under 37 C.F.R. 1.10 on the date dressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
Jeanne Rusciano	Jeanne Rusciano